

1 Bacterial vaginosis, aerobic vaginitis, vaginal inflammation and major Pap smear abnormalities

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46 Abstract

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48 Purpose: To evaluate the impact of the vaginal milieu on the presence of abnormal Pap smears and a  
49 positive HPV test.

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51 Methods: Cross sectional study, conducted between June 2014 and May 2015, evaluating the vaginal  
52 discharge by fresh wet mount microscopy and comparing these data with Pap smear findings. Wet  
53 mount slides were scored for bacterial vaginosis (BV), aerobic vaginitis (AV), presence of *Candida*,  
54 and *Trichomonas vaginalis*. Cytologic evaluation was done on all Pap smears according to Bethesda  
55 criteria. Cobas<sup>®</sup> HPV (Roche) test was performed for Human Papilloma Virus (HPV) detection.

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57 Results: 622 cases were evaluated. The mean age was 41.6±10.65 (21-75) years.

58 Eighty-three women (13.3%) had a cytology result worse than low-grade squamous intraepithelial  
59 lesion (LSIL). When comparing this group with the one with normal or minor (atypical squamous cells  
60 of undetermined significance (ASC-US) or LSIL) Pap smear abnormalities, there were no differences  
61 in the presence of *Candida* (32.5% vs. 33.2%,  $p=1.0$ ), absence of lactobacilli (38.6% vs. 32.5%,  
62  $p=0.32$ ) or BV (20.5% vs. 13.2%,  $p=0.09$ ). On the other hand, moderate/severe inflammation (msI)  
63 (41.0% vs. 28.8%,  $p=0.04$ ), moderate/severe AV (msAV) (16.9% vs. 7.2%,  $p=0.009$ ) and msAV/BV  
64 (37.3% vs. 20.0%,  $p=0.001$ ) were more common in women with such major cervical abnormalities.

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66 No significant association was found between deviations of the vaginal milieu and high risk HPV  
67 infection.

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69 Conclusion: The presence of msI or msAV, but not BV, are independently associated with an increased  
70 risk of major cervical cytological abnormalities, but not with HPV infection.

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73 Keywords: aerobic vaginitis, bacterial vaginosis, inflammation, Pap test, vaginal flora, wet mount,  
74 bacterial vaginosis, HPV

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77 Introduction

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79 Aerobic vaginitis (AV) was first described by Donders et al. in 2002<sup>1</sup>. It is characterized by the  
80 presence of immature epithelial cells, a disturbed population of *Lactobacilli*, the presence of aerobic  
81 pathogens and inflammation (evaluated by the number of leucocytes and their toxic appearance). The  
82 pathogens involved are enteric bacteria (*Escherichia coli*, *Staphylococcus aureus*, group B  
83 streptococcus, and enterococci), and lack of oestrogen is also believed to play a role in its origin<sup>2,3</sup>. The  
84 extreme presentation of AV is comparative to what is known as 'desquamative inflammatory vaginitis'  
85 (DIV)<sup>2,4</sup>. It is postulated that AV is the aerobic counterpart of anaerobic bacterial vaginosis (BV). In  
86 some cases, mixed presentations (AV and BV) can be found, representing either a transient form or  
87 prolonged co-infection<sup>3</sup>. Indeed, the vaginal milieu cannot be seen as a static system, but rather as a  
88 complex dynamic system.

89 AV is still not widely known and so it is under diagnosed by many clinicians, and may even have been  
90 mistaken as BV in several former studies<sup>5</sup>. Indeed, in major studies discussing the role of a disturbed  
91 vaginal flora in preterm labour, AV hardly ever has been acknowledged as a potential risk factor<sup>6,7</sup>,  
92 despite its clear pathogenic role<sup>3,8-11,12</sup>. Hence, the lack of recognition of AV may explain some of the  
93 conflicting results found among studies involving BV.

94 AV, being present in 2-12%<sup>13-16</sup> of women, has been implied as a possible cause of serious adverse  
95 gynaecological and obstetric outcomes, such as an increased risk to acquire sexually transmitted  
96 diseases (including Human Immunodeficiency Virus)<sup>17</sup>, premature rupture of membranes, preterm  
97 labour and ascending chorioamnionitis<sup>18</sup>.

98 Jahic et al<sup>19</sup>, in 2013, added to this list an increased risk of having a LSIL Pap smear result. These  
99 authors concluded that the association was independent of being high-risk HPV (HR-HPV) positive,  
100 and that treating AV would decrease the number of abnormal Pap test results.

101 Role of the persistent infection by one of the high risk HPVs in the development of cervical cancer is  
102 well established<sup>20</sup>. Although the infection is common, few patients develop high-grade lesions or  
103 invasive cancer. As the progression risk is still poorly understood, several factors need to be  
104 investigated, including smoking, contraception, presence of sexually transmitted diseases, as well as  
105 factors from the vaginal milieu<sup>21</sup>.

106 Clarke MA et al. have shown that a high pH, indicative of an abnormal vaginal flora, is a risk factor for  
107 HPV infection and abnormal Pap tests (LSIL) <sup>22</sup>. This relation was more marked in women under 35  
108 and over 65 years old and was assumed to be associated with BV.

109 However, an elevated pH is not always indicative of BV. The relation between increased vaginal pH  
110 and vaginal abnormalities, the lactobacillary flora profiles and AV is better accomplished by using wet  
111 mount, rather than Gram stain<sup>23</sup>.

112 The aim of this paper is to investigate the correlation between the vaginal flora in wet mounts and  
113 major Pap test abnormalities.

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116 Material and methods

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118 Between June 2014 and May 2015 we performed microscopic examination of vaginal discharge  
119 collected from 622 consecutive patients, who attended the clinic with an indication for cervical Pap test  
120 (cross sectional study). After the insertion of an unmoistened speculum, discharge from the lateral  
121 upper third of the vaginal wall was collected with a spatula and spread onto a glass slide. The slides  
122 were then allowed to dry and later rehydrated with a drop of saline<sup>24</sup>. All samples were evaluated  
123 blindly by one of the authors (PVB), according to Femicare's criteria<sup>8,25</sup>. It included: lactobacillary  
124 grade evaluation (Grade 0 – no lactobacilli or other bacteria; grade I – lactobacillary flora, no other  
125 bacteria; grade IIa – lactobacilli dominating flora, but with other bacteria; grade IIb – lactobacilli  
126 present, but other bacteria dominating; grade III – absent lactobacilli, other bacteria present); presence  
127 of “clue cells”; leucocytes ( $\leq 10$ /high power field,  $>10$ /high power field and  $\leq 10$ /epithelial cell,  
128  $>10$ /epithelial cell); proportion of toxic leucocytes (none or sporadic,  $\leq 50\%$  of leukocytes,  $>50\%$  of  
129 leukocytes); background flora (unremarkable or cytolysis, small coliform bacilli, cocci or chains,  
130 anaerobic); proportion of parabasal epitheliocytes (none or  $<1\%$ ,  $\leq 10\%$ ,  $>10\%$ ); presence of *Candida*  
131 spp. (spores/bastospores, hyphae/pseudohyphae); presence of structures compatible with *Trichomonas*  
132 *vaginalis*.

133 Moderate or severe inflammation (msI) was defined as more than 10 leukocytes per high power field,  
134 and more than 10 leukocytes per epithelial cell respectively); moderate or severe aerobic vaginitis  
135 (msAV) was defined as an AV score of more than 4, according to the original definition of Donders et

136 all <sup>8</sup>. The parameters evaluated to determine the AV score were: lactobacillary grade, number of  
137 leucocytes, proportion of toxic leucocytes, background flora and proportion of parabasal cells.  
138 Atrophic vaginitis was defined by the presence of parabasal cells, as predominant cell type, and  
139 leucocytes or, in some cases, a paucity of cells, usually with lack of lactobacilli.

140 All Pap tests (liquid based cytology, ThinPrep medium, Hologic, Bedford, MA) were read at the  
141 institution's laboratory according to the Bethesda criteria<sup>26</sup>. Cobas<sup>®</sup> HPV (Roche Molecular Systems  
142 Inc., Pleasanton, CA) test was performed for all patients, according to the institution's protocol.

143 Most women (85.5%) were recruited from the Cervical Pathology Unit and the remaining from the  
144 Family Planning Services.

145 After explanation of the study and obtaining informed consent a clinical history, including  
146 contraceptive methods, hormonal treatments, hormonal status, vulvovaginal symptoms, smoking  
147 habits, comorbidities, history of cervical disease and conization were recorded. In patients with  
148 symptoms (e.g. pruritus, burning or discharge), vulvar dermatoses were excluded by thorough clinical  
149 examination, performed by clinicians with expertise in this area, before attributing these symptoms to  
150 "vaginitis".

151 Exclusion criteria were age less than 21 years old, pregnancy, total hysterectomy, vaginal bleeding, and  
152 vaginal medication within the last 48 hours or refusal to participate in the study.

153 Whenever an immediate diagnosis was necessary (symptomatic vaginitis) two slides were taken: one  
154 for immediate diagnosis and an additional one to be read blindly together with the other slides. All  
155 diagnosed vaginitis were treated according to the *lege artis*.

156 According to the Bethesda classification "major Pap smear abnormality" (MPSA) was defined as any  
157 cellular abnormality more severe than LSIL: LSIL cannot exclude high grade intraepithelial neoplasia  
158 (LSIL-H)<sup>26</sup>, atypical glandular cells (AGC), atypical squamous cells cannot exclude high grade  
159 intraepithelial lesion (ASC-H), high grade intraepithelial lesion (HSIL), adenocarcinoma *in-situ* (AIS),  
160 carcinoma). At the time of the study, the now no longer recommend subtype "LSIL-H"<sup>26</sup> was still  
161 being used at our institution and was included in the major abnormalities group. The cases with  
162 cytology showing NILM, ASC-US or LSIL composed the control group.

163 According to the study protocol, colposcopy driven biopsies were taken at the physician's discretion.

164 The available biopsy results (punch biopsies and excisional procedures) were also evaluated. These

165 results were available for 190 (30.5%) of women and were classified as normal, cervical intraepithelial  
166 neoplasia (CIN) 1, CIN2, CIN3, carcinoma, adenocarcinoma *in situ* or adenocarcinoma.

167 The study was approved by the Ethics Committee of the Centro Hospitalar de São João (Number 266-  
168 2014).

169 Statistical analysis was performed using Microsoft® Excel® 2011 (Microsoft Corporation®, Redmond,  
170 Washington) and IBM® SPSS® 20.0 (IBM Corporation®, Armonk, NY). The Student's T test was used  
171 for continuous variables and the Fisher's exact test was used for categorical ones. A *p*-value <0.05 was  
172 considered statistically significant.

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178 Results

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180 The mean age was 41.6±10.65 (21-75) years (n=622). There were 83 (13.3%) women with MPSA  
181 (case group) (**Table 1**), most of whom (95.2% [79/83]) were enrolled at the Cervical Pathology Unit

182 The characteristics of the two groups are summarized in **Table 2**. There were no differences between  
183 the control and study group in terms of age, contraceptive use, menopause status, use of hormonal  
184 replacement therapy or the presence of symptoms suggestive of vaginitis.

185 Patients in the study group were significantly more likely to be smokers, but less likely to have had a  
186 previous cervical excisional procedure. In the control group, a significant number of patients (24.5%  
187 [132/539]) had already been treated with previous conization, and were in the follow-up phase now.

188 There was no association between AV and smoking (6.0% [5/83] AV in smokers *vs.* 7.4% [24/326] in  
189 non-smokers, *p*=0.813). On the other hand, the percentage of BV among smokers was somewhat  
190 higher, but without reaching statistical significance (21.7% [18/83] *vs.* 13.8% [45/326], *p*=0.088).

191 The presence of msAV was significantly more common in the MPSA group than in the control group  
192 (16.9% *vs.* 7.2%, *p*=0.009) and the same was true for moderate/severe inflammation (41.0% *vs.* 28.8%,  
193 *p*=0.029). Also, if women with aerobic vaginitis and/or bacterial vaginosis were considered jointly  
194 (msAV/BV), these abnormal flora conditions were more frequent in women with MPSA than in

195 women with LSIL, ASC-US or NILM (37.3% vs. 20.0%,  $p=0.001$ ). The prevalence of single BV,  
196 however, was somewhat higher in the study group, but without statistical significance (20.5% vs.  
197 13.2%.  $p=0.090$ , **Table 3**).

198 Lactobacilli were absent (LBG 0 and III) in 12.3% (51/415) of women with MPSA and in 15.5%  
199 (32/207) of control women ( $p=0.3$ ).

200 89 (47.1%) of the 189 women with msI had Candida, 48 (25.4%) had msAV, 22 (11.6%) showed  
201 *Trichomonas vaginalis*, and 16 (8.5%) had atrophic vaginitis.

202 Considering the cases of Candida and stratifying it according to the presence of inflammation, still  
203 there was no difference in the risk of MPSA (13.5% [12/89] vs. 12.8% [15/117],  $p=1.0$ ).

204 In women with inflammation, if more than 50% of the leucocytes were toxic, the rate of MPSA was  
205 23.3% (17/73), compared to 12.0% (66/549) in women with lower numbers of toxic leukocytes ( $p=$   
206 0.016).

207 The rate of AV was 7.9% (29/367) in LSIL and 8.8% (8/91) in NILM ( $p=0.8$ ).

208 The HPV test results were available in 609 (97.9%) women. There was no relation between the  
209 presence of HR-HPV and msAV, inflammation or BV (**Table 4**).

210 Amongst women with HR-HPV, msAV was significantly more common if they had also MPSA, as  
211 compared to HR-HPV positive women with a Pap smear LSIL or less (17.3% [13/75] vs. 7.7%  
212 [15/194],  $p=0.025$ ). Women with HR-HPV had a non-significant higher chance to have BV than HR-  
213 HPV women with low grade or normal cytology (21.6% [16/74] vs. 12.4% [24/194],  $p=0.083$ ).

214

215 Of women who had additional information about pathology results of cervical biopsies, CIN2+ was  
216 present in 77.8% (7/9) of those with msAV and in 74.5% (35/47) of those without msAV ( $p=0.2$ ). Of  
217 all women, CIN2+ was present in 40% (10/25) of those with msAV and in 30.1% (50/166) of women  
218 without msAV ( $p=0.4$ ).

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224 Discussion

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226 AV is a highly prevalent condition, with specific criteria that can be easily recognized through wet-  
227 mount microscopy<sup>24</sup>.

228 BV has been extensively debated in the literature as a risk factor for cervical dysplasia<sup>27</sup> and cancer<sup>28</sup>.

229 On the contrary, little is known about the role of AV. When interpreting the data relative to BV, it must  
230 be kept in mind that AV was not recognized or ignored in most of these older studies, while in others it  
231 might even have been mistaken as BV<sup>29</sup>.

232 The role of (chronic) inflammation in the development of precancerous lesions has been gaining  
233 increasing attention in the recent years<sup>30-32</sup>. According to the literature, the interleukin (IL) profile of  
234 AV (increased IL-1b, IL-6 and IL-8) is similar to what is found in women with cervical intraepithelial  
235 neoplasia<sup>33-36</sup>. In contrast, in BV, IL-6 and IL-8 are not expressed<sup>37</sup>.

236 Our data has shown a relation between AV, AV and/or BV, but not isolated BV, and a Pap test with  
237 major abnormalities. There was also an association between msI and a Pap test with major  
238 abnormalities. The majority of the cases with msI were not in the context of AV, pointing to the role of  
239 the inflammatory response as such, and its own relevance, rather than the presence of infectious agents  
240 – which in some cases can be commensals of the vagina. Already in 2001, Castle et al. <sup>36</sup> found a non-  
241 significant relation between the presence of inflammation and high-grade CIN (OR, 1.9; 95% CI, 0.91–  
242 4.1) on Pap smears.

243 The annotation “inflammation” can have different meanings: it can be more or less exuberant and there  
244 can be significant differences in terms of the presence of toxic leucocytes. Indeed, we found not only  
245 that inflammation is linked to major abnormal Pap smear results, but also that the presence of toxic  
246 leukocytes do had a stronger association with more severe cervical cytologic abnormalities .

247 The thesis that a chronic inflammation in the vagina decreases the innate immune response in the  
248 cervix, allowing for persistence of HPV infection and increasing the progression to high grade lesions  
249 deserves further study. This theory would fit with the observation that in some series anti-inflammatory  
250 drugs were associated with a decreased risk of cervical cancer <sup>38,39</sup>.

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252 Despite the fact that a low pH and the presence of *Lactobacilli* are considered an important part of the  
253 defence system of the vagina<sup>40</sup> and that it might be also true for the cervix<sup>41</sup>, we could not establish an  
254 association between *Lactobacilli* absence and an abnormal Pap test result. This may be explained by

255 the fact that the absence of lactobacilli can be due to a variety of reasons, including AV, BV,  
256 Trichomonas infection, severely inflamed candidosis, etc., but also due to hormonal influences like  
257 after menopause or during the postpartum period<sup>42-44</sup>. Hence, the absence of *Lactobacilli* in the vagina  
258 cannot always be considered pathological<sup>45</sup>.

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260 We could not confirm the results of Jahic et al.<sup>19</sup>, who found AV to be more common in women with  
261 LSIL, when compared to women with NILM. In our study the rate of AV was similar in women with  
262 LSIL and NILM. A possible explanation for these different findings may be that Pap tests in that study  
263 could be collected for a period of up to 6 months before the wet mount smear was taken. Since both  
264 cervical dysplasia and vaginal flora are dynamic, it may indicate that in women with minor Pap smear  
265 abnormalities, like LSIL, regression of AV may be more likely than in women with more severe  
266 cytological abnormalities, but this is purely hypothetical. Finally, in Jahic's study, no information was  
267 given about the AV prevalence in women with major cytological abnormalities.

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269 Although it is tempting to explain our findings by immune system interactions, it is also possible that  
270 the presence of AV leads to a misreading of the Pap smear and an over diagnosis of MPSA. Indeed, the  
271 presence of inflammation, atrophy or reparative phenomena – all of which can be found in AV - can,  
272 perhaps influence the Pap test interpretation, rendering it merely a confounder instead of being a risk  
273 factor.

274 AV, BV, elevated vaginal pH and the presence of inflammation can also be markers of sexual activity  
275 or of specific habits, like smoking, that are known to increase the risk of having an abnormal Pap test.  
276 Women participating in this study were not interrogated about their sexual habits.

277

278 Smoking, as expected, was associated with having a Pap test with a major abnormality. Smoking is  
279 known to be associated with cervical dysplasia, cancer and also with BV, making it difficult to interpret  
280 the independent role of each of the factors, as BV can be a marker of smoking. However, for AV, we  
281 could not find such a relation with smoking.

282

283 Despite the small numbers of biopsies, the rate of CIN2+ in patients with a Pap test worse than LSIL  
284 was similar in both women with and without AV, thus ruling out the hypothesis that increased

285 prevalence of AV in women with abnormal cytology could be due to “false positives”. This can be a  
286 phenomenon similar to the increased risk of false positive ASC-US Pap smear in women with *T.*  
287 *vaginalis* infection<sup>46</sup>.

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289 However, we did not find an association between the presence of *T. vaginalis* and an abnormal Pap  
290 test.

291 Also we found no relation between the presence of microscopic candidosis and high grade lesions of  
292 the cervix, not even after correcting for the presence of concurrent inflammation.

293 Although reporting the presence of inflammation and microorganisms in the Pap test may lead to  
294 unnecessary treatment and interventions<sup>47</sup>, our data seems to indicate that reporting vaginal infectious  
295 conditions and inflammation on Pap smear analyses should be done systematically. For AV, however,  
296 Pap reading are not properly validated to provide a full diagnosis, but inflammatory cells can be easily  
297 recognized and graded<sup>48,49</sup>, at least on conventional Pap smears. Hence, specific Pap test criteria for  
298 the diagnosis of AV, inflammation and BV should be developed and advocated, also for the new, liquid  
299 based cytology testing.

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302 The weak points of this study may include the fact the most women were enrolled at a Cervical  
303 Pathology Unit, which enabled to have an enriched sample of abnormal Pap tests. A significant part of  
304 these women had a history of cervical disease and a high percentage of previous excisional procedures  
305 of the cervix, which may have distorted the 'natural' prevalence of lesions and HR HPV in the studied  
306 women. Indeed, in many women with original high-grade lesions, not only the lesion, but also the HR  
307 HPV disappears at later follow up.

308 Despite comparing the results of a cervical and a vaginal collection (Pap test and wet-mount,  
309 respectively), the effects of the vaginal milieu are without any doubt also exerted on the cervix.  
310 Furthermore, there is a strong concordance between the microbioma found in the cervix and the  
311 vagina<sup>50</sup>.

312 Another disadvantage may be that we most likely underreported the presence of trichomoniasis, due to  
313 delayed reading of the wet mounts and on candidosis, as as according to some authors, sensitivity on  
314 wet mounts may be less than with cultures<sup>51,52</sup>.

315 Finally the analysis based on histological biopsy results can be biased, as no systematic biopsies were  
316 performed.

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319 Conclusion

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321 AV is a significant cause of vaginitis-like symptoms, which no longer can be ignored or neglected.

322 Among other important adverse gynaecological and obstetrical outcomes, it may also be involved in

323 the progression to cervical intraepithelial neoplasia and cancer in HR HPV positive women. This can

324 be due to its chronic inflammatory features, leading to depression of the innate immune response

325 mechanisms. The interleukin profile that has been associated with AV is coherent with that found in

326 HR-HPV infected patients progressing to cervical dysplasia and cancer.

327 There was no association between the presence of AV and that of HR-HPV infection, so AV probably

328 is not related to an increased acquisition risk of the later. Hence, more likely AV and inflammation can

329 have a permissive role in the persistence of HR-HPV and in progression to high grade lesions once

330 established.

331 Currently it is not clear whether AV is a marker or a risk factor, but our data suggests that

332 inflammation plays a key role. Remarkably, not all types of “inflammation” are equally dangerous, as,

333 opposed to AV, inflammation associated with Candida was not associated with an increased risk.

334 BV, which is not associated with inflammation, and was in our study also not significantly associated

335 with abnormal Pap test results.

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340

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342

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